

**FACSIMILE COVER SHEET**

Date: October 31, 2003

To: Examiner Li  
Fax No: 703-746-7186

From: Noel Kaman  
Tel. No: 312-245-5319

Client No: 10466/121

No. of Pages  
(inc. this page): 32

Confirmation Copy To Follow: Yes ☐ No ☒

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**COVER MESSAGE:**

Dear Examiner Li:

Please find attached a First Supplemental IDS, per our discussions yesterday. I apologize for the delay in getting this to you.

Best Regards,

Noel

**BRINKS  
HOFFER  
GILSON  
& LIONE**

A Professional Corporation  
Intellectual Property Attorneys

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TRANSMITTAL LETTER			Case No. 10466/121
Serial No. 09/944,457	Filing Date August 30, 2001	Examiner Ruixiang Li	Group Art Unit 1646
Inventor(s) Baker et al.			
Title of Invention Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same			

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a First Supplemental Information Disclosure Statement; PTO 1449 Form, BLAST Nucleic Acid Sequences, 17 pages and BLAST Amino Acid Sequences, 6 pages.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Indep.		Minus			x \$2 =			x \$4 =	
First Presentation of Multiple Dep. Claim					+ \$140 =			+ \$280 =	
					Total add'l fee	\$		Total add'l fee	\$

- ☒ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$180.00. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

C. Noel Kaman  
C. Noel Kaman  
Registration No. 51,857  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is faxed to the U.S. Patent and Trademark Office, Examiner LI at Fax Number 703-746-7186, on October 31 2003.

Date: 31 Oct 2003 Signature: C. Noel Kaman

Received from <312 321 4299> at 10/31/03 6:50:24 PM [Eastern Standard Time]

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Name of applicant, assignee or  
Registered Representative

*C. Noel Kaman*

Signature

31 October 2003

Date of Signature

Our Case No.: 10466/121

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Baker et al.

Serial No.: 09/944,457

Filing Date: 08/30/2001

For: Secreted and transmembrane  
polypeptides and nucleic acids  
encoding the same

Examiner: Ruixiang Li

Group Art Unit No.: 1645

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

No.	Date	Name
B1	1 December 1998	Baker, K.P. et al, GenBank Accession No. P_AAX80043
B2	1 December 1999	Baker, K.P. et al, GenBank Accession No. P_AAA49551
B3	2001	Yamada, S. et al, GenBank Accession No. NM_017680
B4	15 February 2000	Kawabata, A. et al, GenBank Accession No. AK000136
BB1	1 December 1999	Baker, K.P. et al, GenBank Accession No. P_AAB01311
BB2	2001	Lorenzo, et al., GenBank Accession No. AAK35161
BB3	1 December 1998	Baker, K.P. et al, GenBank Accession No. P_AAY17820

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed in accordance with 37 C.F.R. § 1.97(c). The Commissioner is hereby authorized to deduct \$180.00 for the fee set forth in 37 C.F.R. §§ 1.97(c) and 1.17(p) from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. Should any other fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct those fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

C. Noel Kaman

C. Noel Kaman

Registration No. 51,857

Attorney for Applicant(s)

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FORM PTO-1449	SERIAL NO. 09/944,457	CASE NO. 10466/121
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 08/30/2001	GROUP ART UNIT 1645
(use several sheets if necessary)		APPLICANT(S): Baker et al.

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
RLi	B1	Baker, K.P. et al, GenBank Accession No. P AAX80043, 1 December 1998
↓	B2	Baker, K.P. et al, GenBank Accession No. P AAA49551, 1 December 1999
↓	B3	Yamada, S. et al, GenBank Accession No. NM_017680, 2001
RLi	B4	Kawabata, A. et al, GenBank Accession No. AK000136, 15 February 2000
RLi	BB1	Baker, K.P. et al, GenBank Accession No. P AAB01311, 1 December 1999
RLi	BB2	Lorenzo, et al., GenBank Accession No. AAK35161, 2001
RLi	BB3	Baker, K.P. et al, GenBank Accession No. P AAY17820, 1 December 1998

EXAMINER <i>Renzhang L</i>	DATE CONSIDERED 11/5/2003
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.